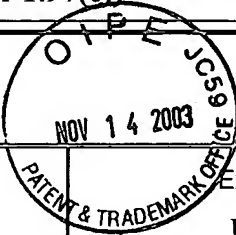


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-1637

In Re Application: Anthony J. Baerlocher



Serial No.  
10/608,867

Filing Date  
June 27, 2003

Examiner  
Unknown

Group Art Unit  
3711

**GAMING DEVICE HAVING A BONUS SCHEME WITH SYMBOL GENERATOR AND SYMBOL  
TERMINATING CONDITION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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I certify that this document and fee is being deposited on November 11, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Adam H Masia

Signature

Dated: November 11, 2003

Adam H. Masia (Reg. No. 35,602)

Bell, Boyd & Lloyd LLC

P.O. Box 1135

Chicago, Illinois 60690

(312) 807-4284

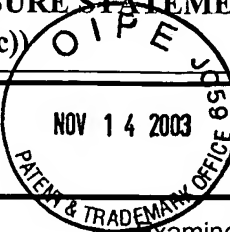
CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-1637

In Re Application Of: **Anthony J. Baerlocher**

NOV 14 2003



Serial No.  
10/608,867

Filing Date  
June 27, 2003

Examiner  
Unknown

Group Art Unit  
3711

Title: **GAMING DEVICE HAVING A BONUS SCHEME WITH SYMBOL GENERATOR AND SYMBOL TERMINATING CONDITION**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Baerlocher  
Appl. No.: 10/608,867  
Conf. No.: 7831  
Filed: June 27, 2003  
Title: GAMING DEVICE HAVING A BONUS SCHEME WITH SYMBOL  
GENERATOR AND SYMBOL TERMINATING CONDITION  
Art Unit: 3711  
Examiner: Unknown  
Docket No.: 112300-1637

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent. The above-identified application is a continuation of application no. 09/652,312, filed on August 31, 2000.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,695,053	September 22, 1987	Vazquez, Jr. et al.
5,205,555	April 27, 1993	Hamano
5,324,041	June 28, 1994	Boylan et al.
5,342,047	August 30, 1994	Heidel et al.
5,449,173	September 12, 1995	Thomas et al.
5,536,016	July 16, 1996	Thompson
5,766,074	June 16, 1998	Cannon et al.
5,769,716	June 23, 1998	Saffari et al.
5,788,573	August 4, 1998	Baerlocher et al.
5,833,536	November 10, 1998	Davids et al.
5,851,148	December 22, 1998	Brune et al.
5,873,781	February 23, 1999	Keane

5,947,820	September 7, 1999	Morro et al.
5,964,463	October 12, 1999	Moore, Jr.
5,980,384	November 9, 1999	Barrie
5,984,781	November 16, 1999	Sunaga
5,997,400	December 7, 1999	Seelig et al.
6,004,207	December 21, 1999	Wilson, Jr. et al.
6,015,346	January 18, 2000	Bennett
6,033,307	March 7, 2000	Vancura
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6,067,438	May 23, 2000	Chen et al.
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6,120,031	September 19, 2000	Adams
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6,126,547	October 3, 2000	Ishimoto
6,135,885	October 24, 2000	Lermusiaux
6,155,925	December 5, 2000	Giobbi et al.
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US 2002/0155883 A1	October 24, 2002	Baerlocher
US 2002/0165019 A1	November 7, 2002	Slomiany

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<u>Document No.</u>	<u>Date</u>	<u>Country</u>
PCT/AU97/00121	September 7, 1997	PCT
EP 0874337 A1	October 28, 1998	Europe
EP 0945837 A2	September 29, 1999	Europe
EP 0984408 A2	March 8, 2000	Europe

#### **OTHER DOCUMENTS**

Top Dollar Brochure written by IGT, published in 1998.

Slot Line Advertisement including Temperature Rising game description written by IGT, published in 1998.

Bonus Spin Red, White & Blue Advertisement written by IGT, available prior to August 2000.

Bingo Brochure written by Casino Data Systems, published in 1998.

Full House Brochure written by Anchor Games, published in 2000.

Jewel in the Crown Advertisement written by IGT, published in 2000.

Red Hot 7's Game Description written by IGT, game available prior to August 2000.

Jackpot Party Brochures, WMS Gaming, Inc., 1998.

Bonus Spin Description and Screen Shots, IGT, available prior to August 2000.

Jester Brochure, IGT, 1999.

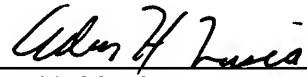
Double Buck Brochure, IGT, available prior to August 2000.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Adam H. Masia

Reg. No. 35,602

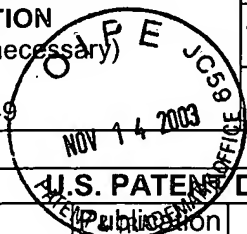
P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4298

Dated: November 11, 2003

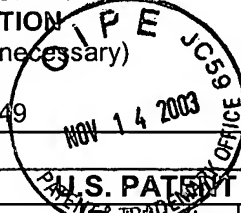
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112300-1637	Application No. 10/608,867
	Applicant Anthony J. Baerlocher	
	Filing Date June 27, 2003	Group 3711



U.S. PATENT DOCUMENTS						
Exam- iner's Initials	Document Number	Publication Date	Inventor	Class	Sub- class	Filing Date If Appropriate
	4,695,053	09-22-87	Vazquez, Jr. et al.			
	5,205,555	04-27-93	Hamano			
	5,324,041	06-28-94	Boylan et al.			
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	5,536,016	07-16-96	Thompson			
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	5,769,716	06-23-98	Saffari et al.			
	5,788,573	08-04-98	Baerlocher et al.			
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	5,964,463	10-12-99	Moore, Jr.			
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	6,033,307	03-07-00	Vancura			
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	6,089,976	07-18-00	Schneider et al.			
	6,102,798	08-15-00	Bennett			
	6,120,031	09-19-00	Adams			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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	Applicant Anthony J. Baerlocher	
	Filing Date June 27, 2003	Group 3711

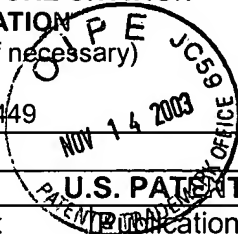


U.S. PATENT DOCUMENTS							
Exam- iner's Initials		Document Number	Publication Date	Inventor	Class	Sub- class	Filing Date If Appropriate
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		6,159,097	12-12-00	Gura			
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		6,203,429 B1	03-20-01	Demar et al.			
		6,231,445 B1	05-15-01	Acres			
		6,261,177 B1	07-17-01	Bennett			
		6,270,409 B1	08-07-01	Shuster			
		6,299,165 B1	10-09-01	Nagano			
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		6,346,043 B1	02-12-02	Colin et al.			
		6,398,218	06-04-02	Vancura			

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	6,413,160	07-02-02	Vancura			
	6,435,511	08-20-02	Vancura et al.			
	US 2002/0142822 A1	10-03-02	Baerlocher et al.			
	US 2002/0142823 A1	10-03-02	DeMar et al.			
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	US 2002/0151357 A1	10-17-02	Acres			
	US 2002/0155873 A1	10-24-02	Berman et al.			
	US 2002/0155883 A1	10-24-02	Baerlocher			
	US 2002/0165019 A1	11-07-02	Slomiany			

FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	PCT/AU97/00121	09-07-97	PCT				
	EP 0874337 A1	10-28-98	Europe				
	EP 0945837 A2	09-29-99	Europe				
	EP 0984408 A2	03-08-00	Europe				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Top Dollar Brochure written by IGT, published in 1998.
	Slot Line Advertisement including Temperature Rising game description written by IGT, published in 1998.
	Bonus Spin Red, White & Blue Advertisement written by IGT, available prior to August 2000.
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	Jewel in the Crown Advertisement written by IGT, published in 2000.

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	Applicant Anthony J. Baerlocher	
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	Jester Brochure, IGT, 1999.
	Double Buck Brochure, IGT, available prior to August 2000.

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